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TRANSMITTAL LETTER Docket No. (General - Patent Pending) **RADME-64498** In Re Application Of: Machold et al. Group Art Unit Serial No. Filing Date Examiner 07/25/2003 Not Yet Assigned Not Yet Assigned 10/627,487 Title: DISPOSABLE CASSETTE FOR INTRAVENOUS HEAT EXCHANGE CATHETER TO THE COMMISSIONER FOR PATENTS: Transmitted herewith is: /are **Information Disclosure Statement** Modified Form 1449A Receipt Card in the above identified application. No additional fee is required. A check in the amount of is attached. 06-2425 □ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Dated: October 24, 2003 John K. Fitzgerald, Reg. No. 38,881 FULWIDER PATTON LEE & UTECHT, LLP

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John K. Fitzgerald

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John K. Fitzgerald, Reg No. 38,881

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Inventor: Machold et al.

Serial No. 10/627,487

Filed: 07/25/2003

For: DISPOSABLE CASSETTE FOR INTRAVENOUS HEAT EXCHANGE

CATHETER

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Client ID/Matter No. RADME-64498

October 24, 2003

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The documents listed on the enclosed Modified Form PTO-1499A/PTO have come to the attention of the applicants and are submitted to the Office under 37 C.F.R. §1.97. Copies of the references cited were previously submitted or cited in co-pending parent application having U.S. Serial No. 09/563,946 filed May 2, 2000.

Applicants respectfully request that the foregoing citations be considered and made of record. It is respectfully requested that the examiner indicate consideration of

the cited references by returning a copy of the attached Modified Form 1499A/PTO with initials and/or other appropriate marks.

Because this Information Disclosure Statement is filed prior to issuance of the first Office action on the merits, no fee is required. The Commissioner is authorized, however, to charge any fees that may be required by this paper to Deposit Account No. 06-2425.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

Bv:

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Approved for use through 07/31/2003 OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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(9) bstitute for form 1449A/PTO

3 1 2003

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/627,487 Application Number July 25, 2003 Filing Date Machold et al. First Named Inventor Not Yet Assigned Art Unit Not Yet Assigned **Examiner Name** 

(use as many sheets as necessary)

**RADME-64498** Sheet Attorney Docket Number U.S. PATENT DOCUMENTS Document Number Pages, Columns, Lines, Where **Publication Date** Name of Patentee or Examiner Cite Number Kind Relevant Passages or Relevant MM-DD-YYYY Applicant of Cited Document Initials\* Code<sup>2</sup> Figures Appear (if known) 3,369,549 02/20/1968 Armao 4.111.209 09/05/1978 Wolvek, et al. 4,459,468 07/10/1984 Bailey 4,767,289 08/30/1988 Parrot et al. 4,919,134 04/24/1990 Streeter 4,941,475 07/17/1990 Williams et al. 4,960,103 10/02/1990 Urso Golden 4,962,761 10/16/1990 03/24/1992 5,097,829 Quisenberry 5,174,285 12/29/1992 Fontenot 5,211,631 05/18/1993 Sheaff 5,330,519 07/19/1994 Mason et al. 5,342,182 08/30/1994 Montoya et al. Fontenot et al. 5,344,436 09/06/1994 5,387,088 02/07/1995 Knapp et al. 12/1995 Rabenau et al. 5,476,368 5,514,094 05/07/1996 Anello et al. 5,624,392 04/29/1997 Saab 5,645,531 07/08/1997 Thompson et al. 02/10/1998 Ward et al. 5,716,386 03/31/1998 Neilson et al. 5,733,319 11/17/1998 5,837,003 Ginsburg FOREIGN PATENT DOCUMENTS Pages, Columns. Foreign Patent Document Lines Where Examiner **Publication Date** Name of Patentee or Applicant of Cite **T**6 Kind<sup>5</sup> Relevant Passages MM-DD-YYYY Cited Document Initials\* No Office3 Number4 or Relevant Figures Appear known) GB 2312736 11/05/1997 Spembly Medical Limited WO 00/09054 02/24/2000 Alsius, Inc. Radiant Medical, Inc. WO 00/10494 03/02/2000 Alsius Corporation WO 00/48670 08/24/2000 11/09/2000 **Alsius Corporation** WO 00/66053

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

Complete if Known

Application Number 10/627,487

Filing Date July 25, 2003

First Named Inventor Machold et al.

Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket Number RADME-64498

(use as many sheets as necessary) Examiner Name Not Fet Assign t 2 of 2 Attorney Docket Number RADME-6449

Sheet	2	····		of	2		Attorney D		mber	RADME-64498	3	
						U.S. PAT	ENT DOCU	MENTS				
Examiner Initials*	r	Cite No <sup>1</sup>	Number	ocument	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY			Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, V Relevant Passages or Rele Figures Appear	
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Examiner Date
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.